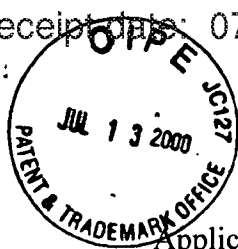


Receipt date: 07/13/2000

09546031 - GAU: 3696

PATENT

0505-4001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: April 10, 2000

Art Unit: 2768

Examiner: TBA

Serial No.: 09/546,031

Title: TRADING SYSTEM WITH ELFS AND UMPIRES

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CERTIFICATE OF MAILING

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement
2. PTO Form 1449
3. Copies of (16) references
4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: July 11, 2000

Brenda Pomerance
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance
260 West 52 St. Apt. 27B
New York, NY 10019
212 245-3940

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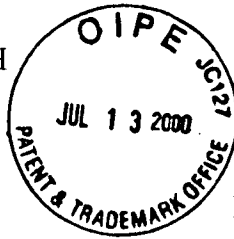
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Examiner: TBA

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

☐ within three months of the filing date of the above-identified application

☒ before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: July 11, 2000

260 West 52 St. Apt. 27B
New York, NY 10019
voice/fax 212 245-3940
bpomerance@flashcom.net

Brenda Pomerance

Brenda Pomerance
Reg. No. 36,894